

**Investors Complaints Data for Stock broking**  
**Data for every month ending - July 2023**

	Received from	Carried forward from previous month	Received during the month	Total Pending	Resolved*	Pending at the end of the Month**		Average Resolution time^(in days)
						Pending for less than 3 months	Pending for more than 3 months	
1	2	3	4	5	6	7		8
1	Directly from Investors	0	35	1	34	0	0	
2	SEBI (SCORES)	0	0	0	0	0	0	
3	Stock Exchanges (if relevant)	0	0	0	0	0	0	
4	Other Sources (if any)	0	0	0	0	0	0	
5	Grand Total	0	35	1	34	0	0	

**Trend of monthly disposal of complaints**

SN	Month	Carried forward from previous month	Received	Resolved*	Pending**
1	April, 2023	2	1	0	3
2	May, 2023	3	1	2	2
3	June, 2023	2	0	2	0
4	July, 2023	0	35	34	1
5	August, 2023				
6	September, 2023				
7	October, 2023				
8	November, 2023				
9	December, 2023				
10	January, 2024				
11	February, 2024				
12	March, 2024				
	<b>Grand Total</b>	7	37	38	6

\*Should include complaints of previous months resolved in the current month, if any.

\*\*Should include total complaints pending as on the last day of the month, if any.

### Trend of annual disposal of complaints

SN	Year	Carried forward from previous year	Received during the particular year	Resolved during the particular year	Pending at the end of the particular year
1	2017-18	0	2	2	0
2	2018-19	0	2	2	0
3	2019-20	0	3	3	0
4	2020-21	0	7	7	0
5	2021-22	0	7	7	0
6	2022-23	0	20	18	2
7	2023-24	2			
	Grand Total	2	41	39	2

**BOB Capital Markets Ltd**

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