

Investors Complaints Data for Stock broking

Data for every month ending – January 2025

| | | Carried forward | Received | | | | | Average Resolution |
|---|-----------------|-----------------|------------|---------|-----------|-------------|------------|-----------------------|
| | | from previous | during the | Total | | Pending at | the end of | time^(in |
| | Received from | month | month | Pending | Resolved* | the Month** | | days) |
| | | | | | | Pending | Pending | |
| | | | | | | for less | for more | |
| | | | | | | than 3 | than 3 | |
| | | | | | | months | months | |
| 1 | 2 | 3 | 4 | 5 | 6 | 7 | | 8 |
| | Directly from | | | | | | | |
| 1 | Investors | 0 | 2 | 1 | 1 | 0 | 0 | 8 |
| 2 | SEBI (SCORES) | 0 | 2 | 0 | 2 | 0 | 0 | 6.5 |
| | Stock Exchanges | | | | | | | |
| 3 | (if relevant) | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| | Other Sources | | | | | | | |
| 4 | (if any) | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| 5 | Grand Total | 0 | 4 | 1 | 3 | 0 | 0 | 7 |

Trend of monthly disposal of complaints

| | | Carried forward from previous | | | |
|----|--------------------|-------------------------------|----------|-----------|-----------|
| SN | Month | month | Received | Resolved* | Pending** |
| 1 | April 2024 | 0 | 11 | 11 | 0 |
| 2 | May 2024 | 0 | 10 | 10 | 0 |
| 3 | June 2024 | 0 | 10 | 10 | 0 |
| 4 | July 2024 | 0 | 7 | 7 | 0 |
| 5 | August 2024 | 0 | 6 | 6 | 0 |
| 6 | September 2024 | 0 | 6 | 6 | 0 |
| 7 | October 2024 | 0 | 10 | 10 | 0 |
| 8 | November 2024 | 0 | 9 | 9 | 0 |
| 9 | December 2024 | 0 | 7 | 7 | 0 |
| 10 | January 2025 | 0 | 4 | 3 | 1 |
| 11 | February 2025 | _ | | | - |
| 12 | March 2025 | | | | |
| | Grand Total | 0 | 80 | 79 | 1 |

^{*}Should include complaints of previous months resolved in the current month, if any.

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^{**}Should include total complaints pending as on the last day of the month, if any.



Trend of annual disposal of complaints

| SN | Year | Carried forward from previous year | Received during the particular year | Resolved during the particular year | Pending at the end of the particular year |
|----|-------------|------------------------------------|--|--|---|
| 1 | 2018-19 | 0 | 2 | 2 | 0 |
| 2 | 2019-20 | 0 | 3 | 3 | 0 |
| 3 | 2020-21 | 0 | 7 | 7 | 0 |
| 4 | 2021-22 | 0 | 7 | 7 | 0 |
| 5 | 2022-23 | 0 | 25 | 25 | 0 |
| 6 | 2023-24 | 0 | 151 | 151 | 0 |
| 7 | 2024-25 | 0 | 80 | 79 | 1 |
| | Grand Total | 0 | 275 | 274 | 1 |

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