

Investors Complaints Data for Stock broking
Data for every month ending - April 2022

SN	Received from	Carried forward from previous month	Received during the month	Total Pending	Resolved*	Pending at the end of the Month**		Average Resolution time^(in days)
						Pending for less than 3 months	Pending for more than 3 months	
1	2	3	4	5	6	7		8
1	Directly from Investors	0	0	0	0	0	0	
2	SEBI (SCORES)	0	0	0	0	0	0	
3	Stock Exchanges (if relevant)	0	2	1	1	1	0	
4	Other Sources (if any)	0	0	0	0	0	0	
5	Grand Total	0	2	1	1	1	0	

Trend of monthly disposal of complaints

SN	Month	Carried forward from previous month	Received	Resolved*	Pending**
1	April, 2022	0	2	1	1
2	May, 2022	1			
3	June, 2022				
4	July, 2022				
5	August, 2022				
6	September, 2022				
7	October, 2022				
8	November, 2022				
9	December, 2022				
10	January, 2023				
11	February, 2023				
12	March, 2023				
	Grand Total	1	2	1	1

*Should include complaints of previous months resolved in the current month, if any.

**Should include total complaints pending as on the last day of the month, if any.

BOB Capital Markets Ltd
 A wholly owned subsidiary of Bank of Baroda

Trend of annual disposal of complaints

SN	Year	Carried forward from previous year	Received during the particular year	Resolved during the particular year	Pending at the end of the particular year
1	2017-18	0	2	2	0
2	2018-19	0	2	2	0
3	2019-20	0	3	3	0
4	2020-21	0	7	7	0
5	2021-22	0	7	7	0
	Grand Total	0	21	21	0

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