

Investors Complaints Data for Stock broking
Data for every month ending - September 2024

	Received from	Carried forward from previous month	Received during the month	Total Pending	Resolved*	Pending at the end of the Month**		Average Resolution time^(in days)
						Pending for less than 3 months	Pending for more than 3 months	
1	2	3	4	5	6	7		8
1	Directly from Investors	0	5	0	5	0	0	
2	SEBI (SCORES)	0	1	0	1	0	0	
3	Stock Exchanges (if relevant)	0	0	0	0	0	0	
4	Other Sources (if any)	0	0	0	0	0	0	
5	Grand Total	0	6	0	6	0	0	

Trend of monthly disposal of complaints

SN	Month	Carried forward from previous month	Received	Resolved*	Pending**
1	April 2024	0	11	11	0
2	May 2024	0	10	10	0
3	June 2024	0	10	10	0
4	July 2024	0	7	7	0
5	August 2024	0	6	6	0
6	September 2024	0	6	6	0
7	October 2024				
8	November 2024				
9	December 2024				
10	January 2025				
11	February 2025				
12	March 2025				
	Grand Total	0	50	50	0

*Should include complaints of previous months resolved in the current month, if any.

**Should include total complaints pending as on the last day of the month, if any.

Trend of annual disposal of complaints

SN	Year	Carried forward from previous year	Received during the particular year	Resolved during the particular year	Pending at the end of the particular year
1	2018-19	0	2	2	0
2	2019-20	0	3	3	0
3	2020-21	0	7	7	0
4	2021-22	0	7	7	0
5	2022-23	0	25	25	0
6	2023-24	0	151	153	0
7	2024-25	0	50	50	0
	Grand Total	0	289	289	0

BOB Capital Markets Ltd

A wholly owned subsidiary of Bank of Baroda

www.bobcaps.in | CIN No. U65999MH1996GOI098009

Parinee Crescenzo
 1704, B Wing, 17th Floor,
 Bandra Kurla Complex, G Block,
 Bandra (East), Mumbai – 400051.
 Tel : +9122 6138 9300