

**Investor's Complaints Data for Stock broking**  
**Data for month ending – November 2025**

Sr. No.	Received from	Carried forward from previous month	Received during the month	Total Pending	Resolved*	Pending at the end of the Month**		Average Resolution time^(in days)
						Pending for less than 3 months	Pending for more than 3 months	
1	Directly from Investors	0	0	0	0	0	0	0
2	SEBI (SCORES 2.0)	0	4	0	4	0	0	4
3	Stock Exchanges	0	0	0	0	0	0	0
4	Other Sources (if any)	0	0	0	0	0	0	0
5	<b>Grand Total</b>	<b>0</b>	<b>4</b>	<b>0</b>	<b>4</b>	<b>0</b>	<b>0</b>	<b>4</b>

**Trend of monthly disposal of complaints for the financial year:**

SN	Month	Carried forward from previous month	Received during the month	Resolved during the month *	Pending at the end of the month #
1	April 2025	0	3	2	1
2	May 2025	1	4	2	3
3	June 2025	3	6	8	1
4	July 2025	1	1	1	1
5	August 2025	1	11	11	1
6	September 2025	1	22	23	0
7	October 2025	0	4	4	0
8	November 2025	0	4	4	0
	<b>Grand Total</b>	<b>7</b>	<b>55</b>	<b>55</b>	<b>7</b>

# Inclusive of complaints of previous months resolved in the current month.

#Inclusive of complaints pending as on the last day of the month.

**BOB Capital Markets Ltd**

A wholly owned subsidiary of Bank of Baroda

[www.bobcaps.in](http://www.bobcaps.in) | CIN No. U65999MH1996GOI098009

Parinee Crescenzo  
 1704, B Wing, 17<sup>th</sup> Floor,  
 Bandra Kurla Complex, G Block,  
 Bandra (East), Mumbai – 400051.  
 Tel : +9122 6138 9300

**Trend of annual (Calendar year) disposal of complaints (For 5 years on rolling basis)**

SN	Year	Carried forward from previous year	Received during the year	Resolved during the year	Pending at the end of the year
1	2021 - 22	0	7	7	0
2	2022 - 23	0	25	25	0
3	2023 - 24	0	151	151	0
4	2024 - 25	0	83	83	0
5	2025 - 26	0	55	55	0
	<b>Grand Total</b>	<b>0</b>	<b>321</b>	<b>321</b>	<b>0</b>

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