

Investor's Complaints Data for Depository Participants
Data for month ending – November 2025

Sr. No.	Received from	Carried forward from previous month	Received during the month	Total Pending	Resolved*	Pending at the end of the Month**		Average Resolution time^(in days)
						Pending for less than 3 months	Pending for more than 3 months	
1	Directly from Investors	0	0	0	0	0	0	0
2	SEBI (SCORES 2.0)	1	6	1	6	1	0	11.5
3	Depositories (NSDL)	0	1	1	0	1	0	0
4	Depositories (CDSL)	2	1	1	2	1	0	9.5
5	Other Sources (if any)	0	0	0	0	0	0	0
	Grand Total	3	8	3	8	3	0	11.0

Trend of monthly disposal of complaints for the financial year:

SN	Month	Carried forward from previous month	Received during the month	Resolved during the month *	Pending at the end of the month #
1	April 2025	3	7	8	2
2	May 2025	2	14	13	3
3	June 2025	3	14	14	3
4	July 2025	3	16	16	3
5	August 2025	3	8	10	1
6	September 2025	1	13	12	2
7	October 2025	2	7	6	3
8	November 2025	3	8	8	3
	Grand Total	20	87	87	20

Inclusive of complaints of previous months resolved in the current month.

#Inclusive of complaints pending as on the last day of the month.

BOB Capital Markets Ltd

A wholly owned subsidiary of Bank of Baroda

www.bobcaps.in | CIN No. U65999MH1996GOI098009

Parinee Crescenzo

1704, B Wing, 17th Floor,
 Bandra Kurla Complex, G Block,
 Bandra (East), Mumbai – 400051.
 Tel : +9122 6138 9300

Trend of annual (Calendar year) disposal of complaints (For 5 years on rolling basis)

SN	Year	Carried forward from previous year	Received during the year	Resolved during the year	Pending at the end of the year
1	2021 - 22	0	0	0	0
2	2022 - 23	0	0	0	0
3	2023 - 24	0	0	0	0
4	2024 - 25	0	39	36	3
5	2025 - 26	3	87	87	3
	Grand Total	3	126	123	6

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